

Immunogenicity of Biological products

*Pierre Cortez
Sanofi-aventis
Metabolism and Pharmacokinetic Department
Workshop AGAH Club Phase I, Lyon / April 28, 2009*



sanofi aventis

Because health matters

Agenda

- Introduction
- Immunogenicity monitoring
 - ▶ Guidelines, white papers...
 - ▶ Risk management plan
 - ▶ Bioanalytical tools
 - 【 Assays platform
 - 【 Assays development/validation
- Cases study



Different denominations

- Biologics, biotechnology products, biological products, recombinant proteins, biopharmaceuticals, protein therapeutics, protein drugs, biotherapeutics...

different denominations may be encountered !



Official definitions of Biologicals

● EMEA guidance

« **biological/biotechnology-derived proteins**...proteins and polypeptides, their derivatives and products of which they are components, e.g. conjugates »

● ICH topic S6

« **Products derived from characterized cells** through the use of a variety of expression systems including bacteria, yeast, insect, plant and mammalian cells... proteins and peptides, their derivatives and products of which they are components; they could be derived from cell cultures or produced using recombinant DNA technology including production by transgenic plants and animals »

● Directive 2003/63/EC

Substance which is produced by or extracted from a biological source and that needs for its characterization and the determination of its quality a combination of physicochemical-biological testing, together with the production process and its control.





Biologicals vs small molecules

Small molecules

- Organic synthesis
- Low MW (Rule of <5kDa)
- Well-defined properties
- Purity standards well established
- Optimized by medicinal chemistry

Biologicals

- Produced by living host cells
- Complex production process that contributes to the definition of the drug substance (DS)
- High MW (usually from 5 to 150kDa and higher)
- Complex and poorly defined properties (eg, tertiary structures, glycosylation)
- Broad specifications that may vary during development, difficult to standardize
- Protein engineering required

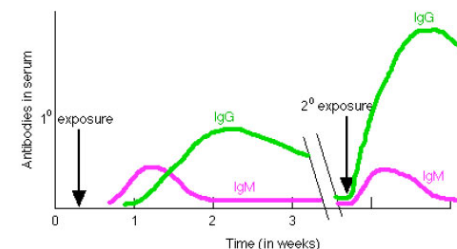
Types of biotech-products

Hormones	Growth hormone, insulin (analogues) and erythropoietin
Blood products	Albumin, thrombolytics, fibrinolytics and clotting factors
Cytokines and growth factors	Interferons, interleukins and colony-stimulating factors
Antagonists/inhibitors	Soluble receptors
Monoclonal antibodies and related products	Mouse, chimeric or humanized Ab; whole molecule or fragment; single chain or bispecific; and naked or conjugated
Modified human proteins	Fusion (IgFc), polyethyleneglycol (PEG)ylation, liposome encapsulation and drug-toxin conjugate
Vaccines	Recombinant proteins or peptides, DNA plasmid and anti-idiotypic
Gene-transfer products	Viral and non-viral vector-delivery systems and DNA-RNA chimaeras
Cell-based therapies	Autologous, allogeneic and xenogeneic
Tissue-engineered products	Cells, tissues, naturally occurring/synthetic biomaterials, extracorporeal and long-term implants

Cavagnaro JA. Preclinical safety evaluation of biotechnology-derived pharmaceuticals. *Nat Rev Drug Discov* 2002 Jun;1(6):469-75.

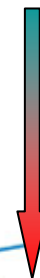
Immunogenicity of Biologicals

- It is assumed that **most or all therapeutic proteins** may induce an immunogenic response with production of Anti-Drug Antibodies (ADA) in patients.
- Many factors contribute to immunogenicity:
 - Foreign amino acid sequences
 - Aggregated, oxidated, deamidated product
 - Host cells proteins, manufacturing changes
 - Formulation, route of administration (SC > IP > IV) and frequency of dosing
 - Immune status, age, disease of patient
- This immunogenicity can be in some cases associated with serious adverse effects:



- No observed effect or clinical event
- Altered PK/PD (increased or decreased exposure)
- Decreased efficacy (decrease exposure or neutralization of the product)
- Severe hypersensitivity reactions (HSR)**
- Cross-reactivity with endogenous proteins, autoimmunity**


best case



worst case

Monitoring is mandatory !

- Both biopharmaceutical industry and regulatory agencies keep on searching for more informative antibodies assays and antibody monitoring strategies.
- There is a need to assess /measure immunogenicity
 - ▶ It is a safety concern (risk-based)
 - ▶ Regulatory expectations are regularly increased

- 
- Introduction
 - Immunogenicity monitoring
 - ▶ Guidelines, white papers...
 - ▶ Risk management plan
 - ▶ Bioanalytical tools
 - 【 Assays platform
 - 【 Assays development/validation
 - Cases study



Guidelines for Biologicals

Specific part dedicated to IMMUNOGENICITY

	QUALITY	SAFETY	EFFICACY
ICH	<ul style="list-style-type: none"> Q5E: Comparability of biotechnological/biological processes(2004) 	<ul style="list-style-type: none"> S6: Preclinical Safety Evaluation of Biotechnology-Derived Pharmaceuticals (1997) M3(R2) Nonclinical Safety Studies for the Conduct of Human Clinical Trials (2008) 	
EMA	<ul style="list-style-type: none"> Comparability of Medicinal Products containing Biotechnology-derived Proteins as Active Substance Quality Issues (2003) 	<ul style="list-style-type: none"> Immunogenicity assessment of biotechnology-derived therapeutic proteins (2008) Requirements For First-in-man Clinical Trials For Potential High-risk Medicinal Products (Draft 2007) Comparability of Biotechnology-Derived Medicinal Products after a change in the Manufacturing Process - Non-Clinical and Clinical Issues (2007) 	<ul style="list-style-type: none"> Clinical Investigation of the Pharmacokinetics of Therapeutic Proteins (2007)
FDA	<ul style="list-style-type: none"> Demonstration of Comparability of Human Biological Products, Including Therapeutic Biotechnology-derived (1996) 	<ul style="list-style-type: none"> Nonclinical Safety Evaluation of Biotechnology-Derived Pharmaceuticals" (2007) 	

White papers for immunogenicity

- AAPS Immunogenicity Sub-Committee (Biotech scientists and FDA representatives)
 - ▶ review ADA testing methods across biotech industry, summarize industry experience and publish recommendations for
 - 【 assay design/optimization
 - 【 validation
 - 【 testing strategies
- Different « White papers » as recommendations for immunogenicity evaluation



Recommendations for ADA assays



Journal of Immunological Methods 289 (2004) 1–16

JIM
Journal of
Immunological Methods

www.elsevier.com/locate/jim

Standardization

Recommendations for the design and optimization of immunoassays used in the detection of host antibodies against biotechnology products

Anthony R. Mire-Sluis^{a,*}, Yu Chen Barrett^b, Viswanath Devanarayan^c,
Eugen Koren^d, Hank Liu^e, Mauricio Maia^f, Thomas Parish^g, George Scott^h,
Gopi Shankarⁱ, Elizabeth Shores^j, Steven J. Swanson^d, Gary Taniguchi^{k,†},
Daniel Wierda^l, Linda A. Zuckerman^m

^aOffice of Biotechnology Products, Center for Drug Evaluation and Research, FDA, 5515 Security Lane, HFD-3, Rm 1011, Rockville, MD 20852, USA

^bBristol-Myers Squibb Company, PO Box 5400 Princeton, NJ 08543, USA

^cGlobal Statistical Sciences, Lilly Research Labs, DC 2233, Eli Lilly & Co., Indianapolis, IN 46285, USA

^dClinical Immunology, Amgen Inc., Thousand Oaks, CA 91320, USA

^eWyeth Pharmaceuticals, 401 N. Middletown Road, Pearl River, NY 10965, USA

^fPreclinical and Clinical Development Sciences, PDL Inc., 34801 Campus Drive Fremont, CA 94555, USA

^gProctor and Gamble Pharmaceuticals, Route 320 Woods Corners, Norwich NY 13346, USA

^hLigand Binding Services, MDS Pharma Services, 2350 Cohen Street, St. Laurent, Quebec, Canada H4R 2N6

ⁱDepartment of Clinical Pharmacology, CENTOCOR, Inc., 200 Great Valley Parkway, Malvern, PA 19355, USA

^jDivision of Therapeutic Proteins, CDER, FDA, N29A RM2A01 HFM-538, 8800 Rockville Pike, Bethesda MD 20892, USA

^kClinical Laboratory Operations, Biomarin Pharmaceutical Inc., 371 Bel Marin Keys Blvd., Novato, CA 94949, USA

^lDepartment of Immunotoxicology, Eli Lilly & Company, 2001 W Main Street, Greenfield, IN 46140, USA

^mBioAnalytical Research and Development, ZymoGenetics, Inc., Seattle, WA 98102, USA

Received 14 March 2004; accepted 14 June 2004



Recommendation for validation of ADA assays

Recommendations For The Validation Of Immunoassays Used For Detection Of Host Antibodies Against Biotechnology Products

Gopi Shankar¹, Viswanath Devanarayan², Lakshmi Amaravadi³, Yu Chen Barrett⁴, Ronald Bowsher⁵, Deborah Finco-Kent⁶, Michele Fiscella⁷, Boris Gorovits⁸, Susan Kirschner^{9†}, Michael Moxness¹⁰, Thomas Parish¹¹, Valerie Quarmby¹², Holly Smith¹³, Wendell Smith¹⁴, Linda A. Zuckerman¹⁵ & Eugen Koren^{16,*}

J Pharm Biomed Anal. 2008 Dec 15



Risk Management Plan (RMP)

- Even if providing a background and data with
 - ▶ project attributes, difference between product and endogenous counterpart, Literature reference (e.g. knockout animals)
 - ▶ preclinical animal data
 - 【 how animal modeling reflects clinical situation
 - 【 how « good » is the assay for immune monitoring
- Both FDA and EMEA want a risk management plan for immunogenicity in submission dossier
 - ▶ RMP provides an immunogenicity risk class designation for the compound and recommends an immunogenicity testing strategy for non-clinical and clinical studies
 - ▶ RMP is a dynamic process and requires periodic evaluations with updates with relevant information



RMP « immunogenicity part »

- Classify the biological regarding its risk category
- Risk assessment must be carried out in collaboration with toxicologists, clinicians, PK and assay experts
- The greater the risk, the more extensive and more frequent Ab testing and characterization should be applied
- Recommendation for routine monitoring of changes in clinical response and linking immunological findings to clinical events
- Immunogenicity as part of all clinical trials
- Evaluate in all patients
- Analyse AE and possible link to unwanted immune response



- Introduction
- Immunogenicity monitoring
 - ▶ Guidelines, white papers...
 - ▶ Risk management plan
 - ▶ **Bioanalytical tools**
 - 【 Assays platform
 - 【 Assays development / validation
- Cases study



Bioanalysis support for immunogenicity monitoring

- Development and validation of different assays for
 - ▶ Binding ADA (anti-Drug Antibody) evaluation in preclinical and clinical studies
 - ▶ ADA Characterization
 - ▶ + PK assay (complementary assay to ADA assay)
- Using different technologies
 - ▶ Select the more appropriate assay (regarding specificity, sensitivity, high throughput method...)



3 assays are expected for immunogenicity evaluation

- Minimum Requirements

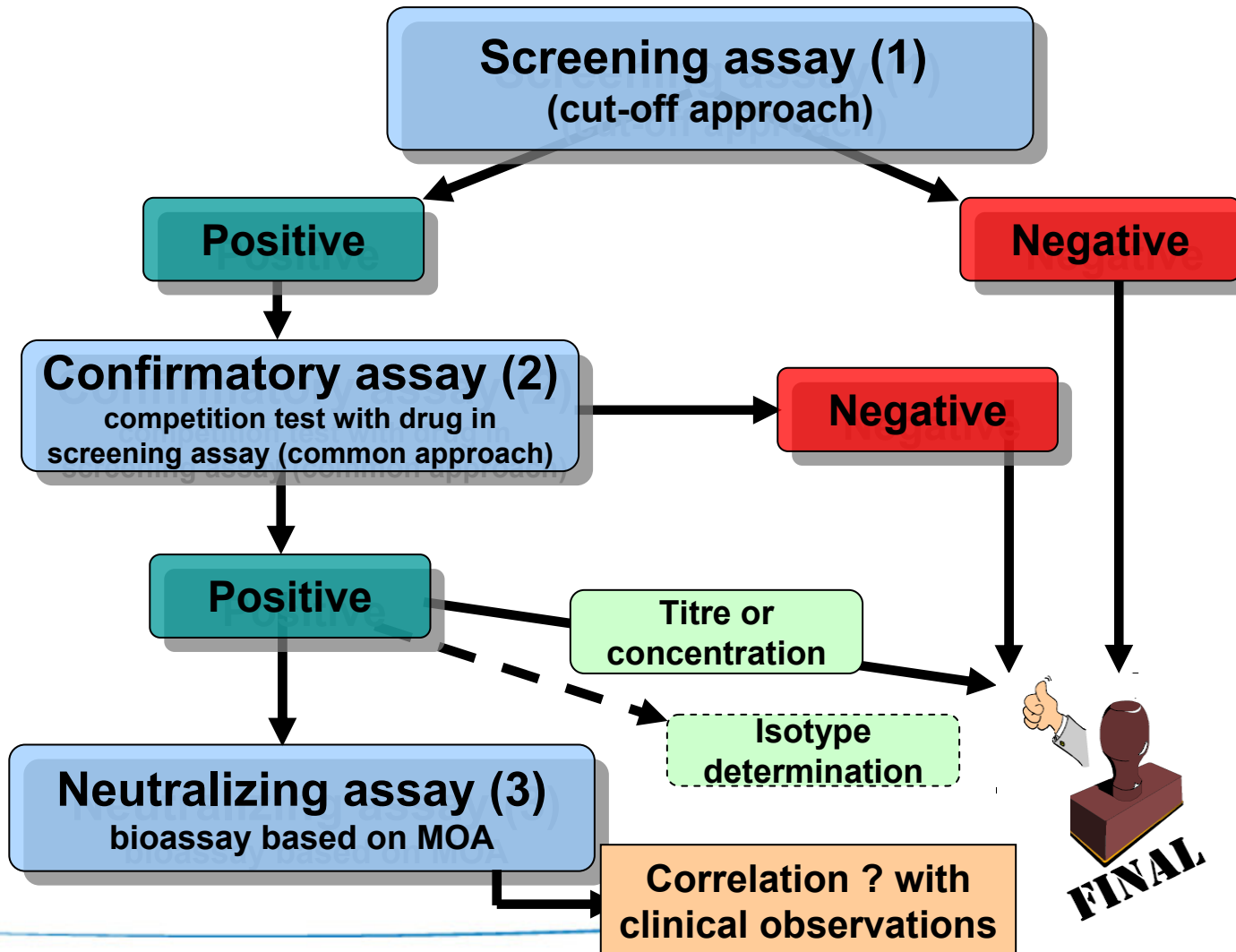
1. Screening with cut-off approach
2. Confirmatory
3. Characterization

- 【 (Titration, Neutralization, Isotyping)

- **Screening assays** are the first pass at detecting anti-drug antibodies.
- Since it is expected that 5% false positives will be detected, **a confirmatory assay** is used to discount the false positives.
- All confirmed positive samples must be titrated and assessed for their **neutralizing activity** (isotyping may be required in some cases).



Process for immunogenicity monitoring



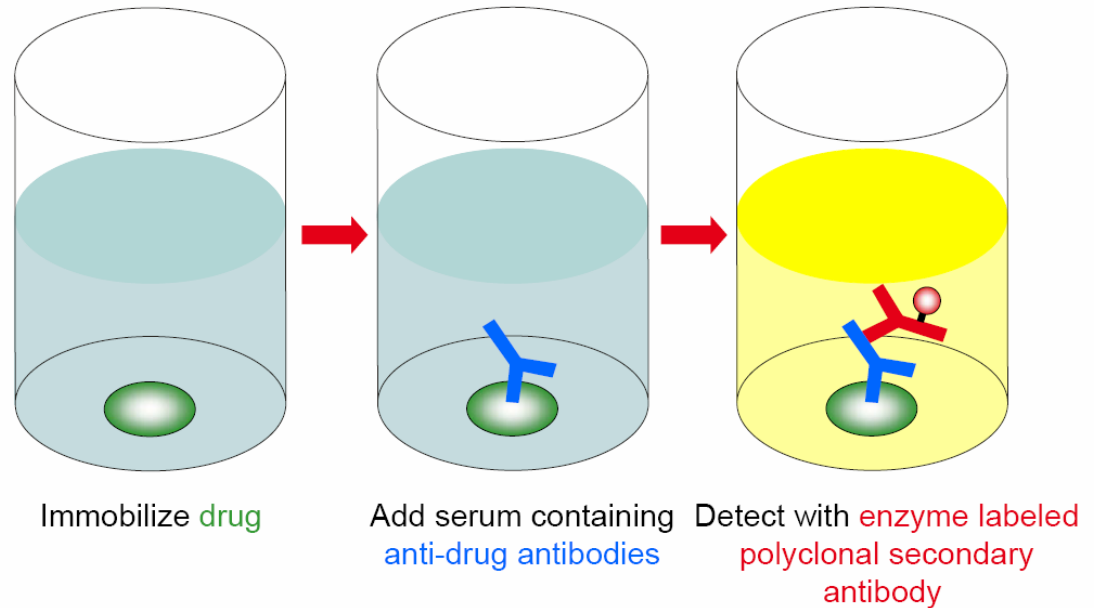
Assays technologies

- ▶ Antibody binding to the biological (ADA) can be monitored by:
 - 【 Radio-Immunoprecipitation (RIP)
 - 【 Direct / indirect ELISA
 - 【 Bridging ELISA
 - 【 Electrochemiluminescence (ECL)
 - 【 Surface plasmon resonance (Biacore)
 - 【 Magnetic bead LC/MS
- ▶ Bioassays investigating neutralizing effects of the antibodies



Direct ELISA

Assay principle



Pros:

- ❖ Sensitivity
- ❖ Commercially available secondary antibodies
- ❖ High throughput

Cons:

- ❖ Source of the positive control has to be the same as that of the anti-drug antibodies
- ❖ Specificity (unspecific binding to matrix components)
- ❖ Can miss low-affinity antibodies due to the high number of washing steps

Bridging ELISA

Format-1

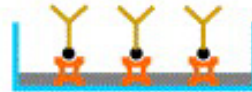
(1) Streptavidin is coated on the plate



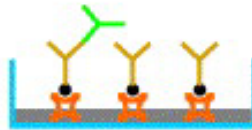
(2) Coated plate is blocked



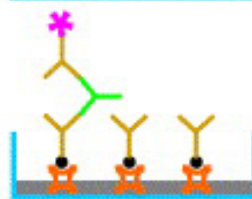
(3) Biotin-conjugated drug binds to streptavidin



(4) ADA in test sample binds to biotin-conjugated drug



(5) Enzyme-conjugated drug binds to ADA and produces signal after substrate is added.



Format-2

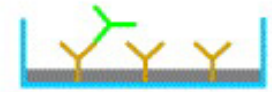
(1) Drug is coated on the plate



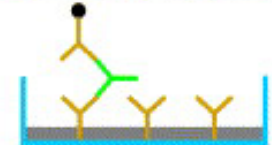
(2) Coated plate is blocked



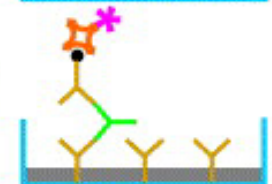
(3) ADA in test sample binds to drug



(4) Biotin-conjugated drug binds to ADA



(5) Enzyme-conjugated streptavidin binds to biotin-conjugated drug and produces signal after substrate is added.



Legend

Y Drug molecule

Y Biotin-conjugated drug molecule

Y Anti-drug antibody (ADA) molecule

⊠ Streptavidin molecule

⊠ Enzyme-conjugated streptavidin molecule

Y Enzyme-conjugated drug molecule

Dong Geng 2004 J. Pharm. and biomedical analysis

Bridging ELISA

Pros:

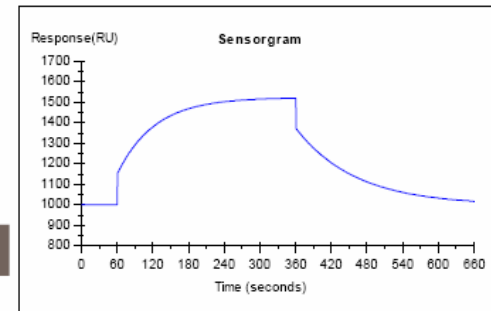
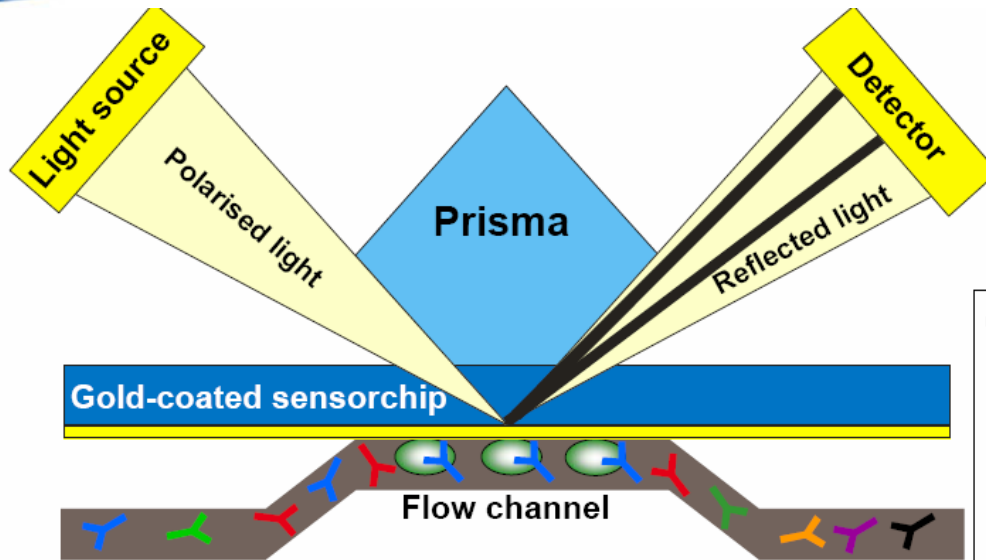
- ❖ High throughput
- ❖ Specificity (two-fold binding of drug required for signal)
- ❖ Possibility to use any positive control from different origin since format is species independent
- ❖ Same format can be used for both pre-clinical and clinical !

Cons:

- ❖ Sensitivity (special orientation of coated drug required) may be limitant
- ❖ Detection of low-affinity antibodies may be restricted
- ❖ Biotinylation might mask/denature epitopes recognized by anti-drug antibodies

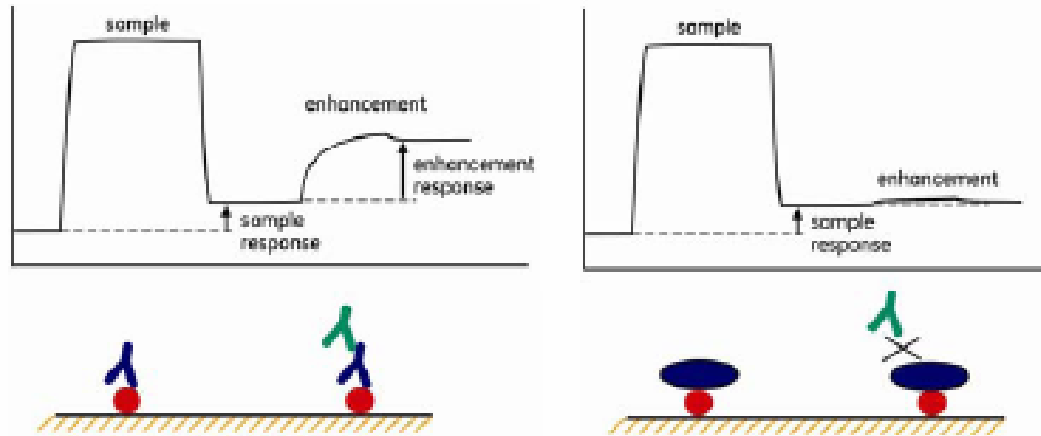


Biacore for isotyping, confirmatory..



- Drug coated to sensorchip
- Injection of plasma / serum containing anti-drug antibodies
- Enhance the signal with anti-species Ab

Biacore for isotyping, confirmatory..



Pros:

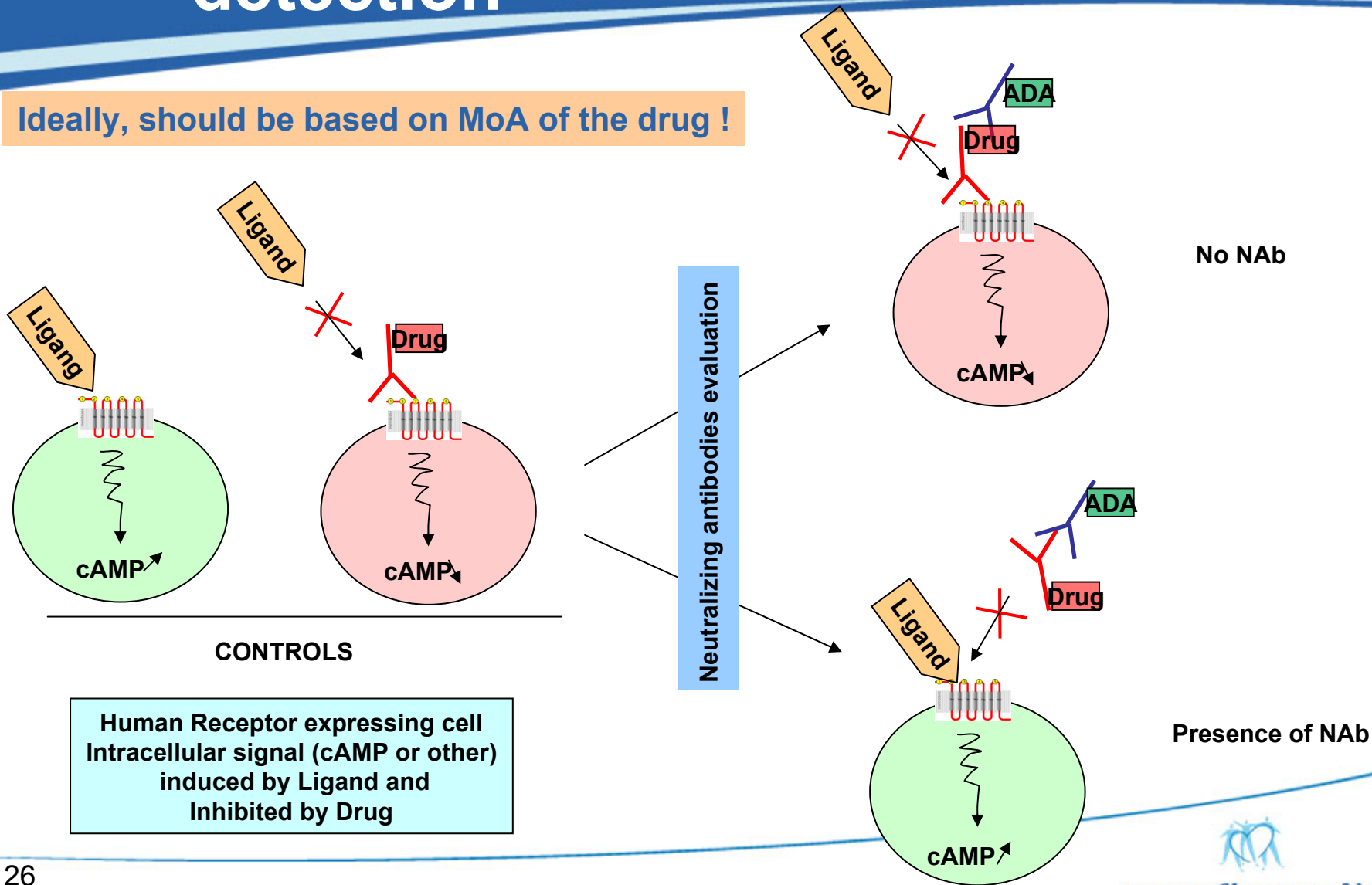
- ❖ Large dynamic range
- ❖ Secondary reagents not mandatory/ not species dependent
- ❖ Detection of low affinity antibodies
- ❖ Sensorgrams include information about affinity of anti-drug antibodies
- ❖ Easy procedure for isotyping (IgG, IgA, IgM, IgE)

Cons:

- ❖ Masking of binding epitopes by chemical coupling
- ❖ Less sensitive than ELISA (May be superior to ELISA in detection of low affinity ADAs in certain circumstances)
- ❖ Time consuming, usually not adapted for high throughput screening
- ❖ Costs (specific equipment)

Bioassay for Neutralizing Ab detection

Ideally, should be based on MoA of the drug !



Human Receptor expressing cell
Intracellular signal (cAMP or other)
induced by Ligand and
Inhibited by Drug



- Introduction
- Immunogenicity monitoring
 - ▶ Guidelines, white papers...
 - ▶ Risk management plan
 - ▶ **Bioanalytical tools**
 - 【 Assays platform
 - 【 **Assays development / validation**
- Cases study



Cut-off definition

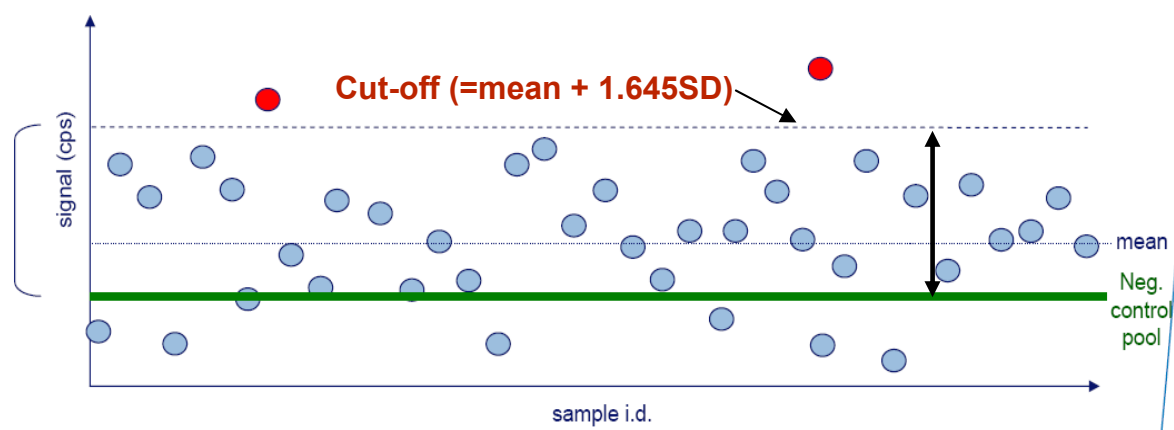
Screening assay

- Defined as the level of response of the ADA assay at and above which a sample is defined to be « reactive » (« potential positive ») for the presence of ADA and below which it is probably negative
- One of the **main validation item for ADA** assay
- Is established by a statistical evaluation of responses for a set of samples (~50-100) representative of naïve animals / subjects (negative for ADA)



Determination of the cut-off

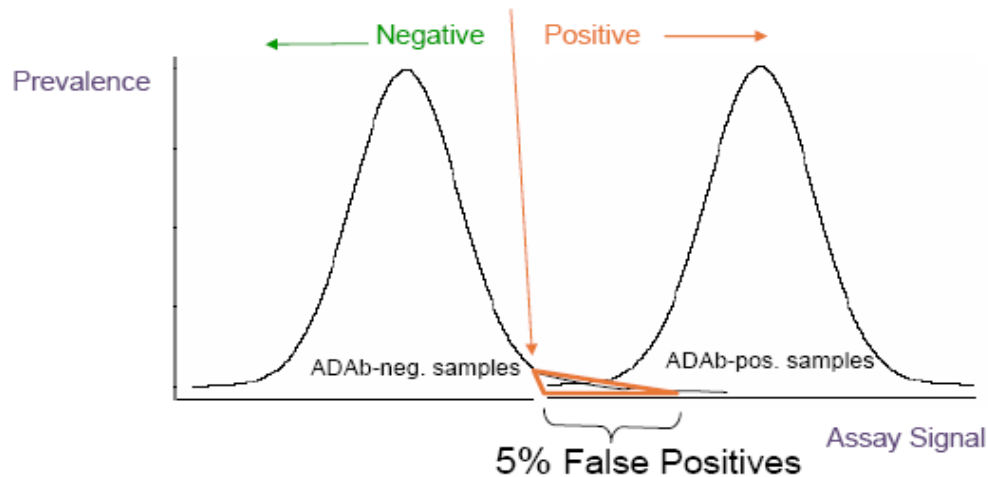
- Qualitative assay with **cut-off approach** statistically determined providing **5% false positive rate** (mean + 1.645 SD for normal distribution or 95th percentile)



- Normalisation factor (NF)
 - = **relative response Cut-Off** / **relative response of negative control pool**
- For each plate calculation of **Normalised Cut-off**
 - = NF x **relative response of negative control**

5% false positive rate is recommended

It is more appropriate to have false positive than false negative (when using a risk based approach)



- ◆ White Paper recommends Mean + 1.645 SD rule
 - ◆ ~5% of neg population should test positive
 - ◆ Hopefully - no false negatives

Some other recommendations for cut-off...

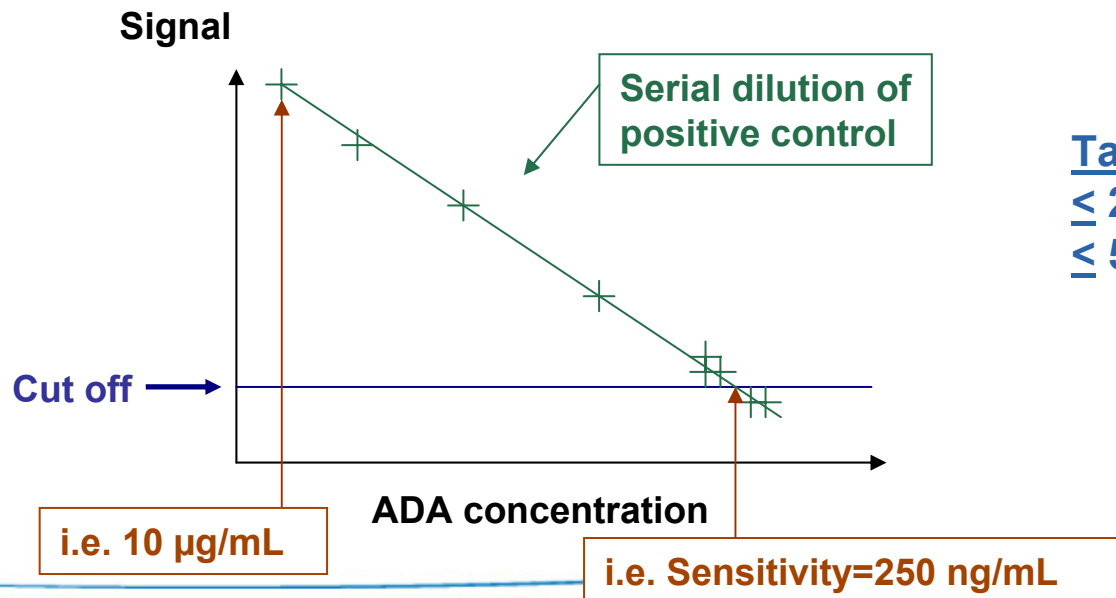
- Use samples from an appropriate population for the cut-off determination
- Start with healthy subject plasmas then re-define cut-off with individual patient plasmas as soon as available (clinical program progresses beyond Phase I or target disease population is available)
- It is recommended to use at least 50 (15-20 for animal) different naives human samples for cut-off determination
- It is established on 3 independent runs



ADA assay sensitivity determination

Sensitivity

- ▶ Defined by the lowest concentration at which a positive control antibody preparation provides a positive signal
 - 【 Providing sensitivity of the assay
- ▶ Sensitivity is highly dependant of the positive control (affinity, avidity, etc)
- ▶ Sensitivity of the assay must be expressed in concentration limits (mass of ADA / volume unit)

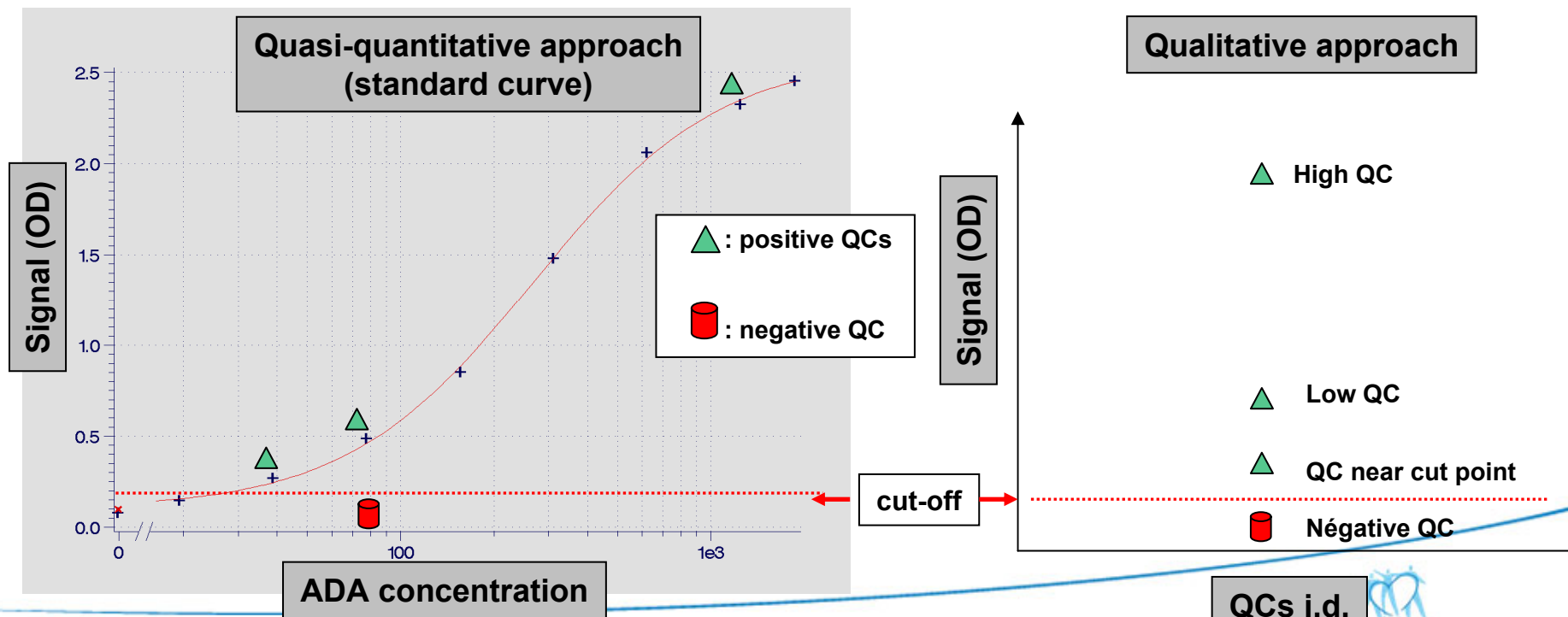


Target Sensitivity:
 $\leq 250\text{ng/mL}$ for human
 $\leq 500\text{-}1000\text{ng/mL}$ for animal

ADA assay system of controls

Establish a suitable system of control to ensure the validity of results

- ▶ A QC set must be defined and a recommended one would include: Negative QC / QC near the cut point / LOW QC / HIGH QC
- ▶ Define acceptance criteria for QCs
- ▶ QC set will be used to validate each run



ADA assay validation


- Cut-off determination
- Sensitivity and Specificity
- Free Drug interference
- Matrix effect
- Stability of positive control and incurred samples (when available)
 - ▶ 24h @37°C, -20°C (-80°C) for months
 - ▶ Freeze/thaw cycles
- Dilution and parallelism
- Co-medications...



Main challenges of immunogenicity monitoring

- Matrix effect, impact on sensitivity
- Interference of residual drug in samples
 - ▶ Proteins, particularly Mab, have long $\frac{1}{2}$ life (2-3 weeks)
 - ▶ May lead to underestimation of ADA
 - ▶ Need optimization of assay to reduce interference
 - ▶ Design studies so that late time-points are collected for monitoring ADA
- ADA follow-up
 - ▶ from preclinical to late clinical phase III and then post registration to ensure long term safety and efficacy



- 
- Introduction
 - Immunogenicity monitoring
 - ▶ Guidelines, white papers...
 - ▶ Risk management plan
 - ▶ Bioanalytical tools
 - 【 Assays platform
 - 【 Assays development/validation
 - Cases study

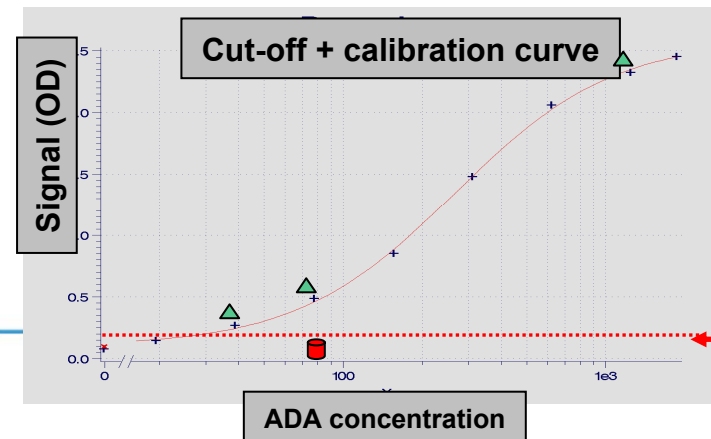


Case study 1 – Therapeutic Protein X

- Drug = Tetramer protein (monomer of 34 kDa) produced in yeast
- One cycle treatment: 5 i.v. administrations over a week
- Immunogenicity evaluation:
 - ▶ A first qualitative assay was validated for ADA monitoring
 - 【 Direct ELISA: Protein X coated plate / plasma incubation / anti-hlg -HRP
 - 【 Cut-off determination for each assay
 - 【 Assay for IgA, IgM, IgG detection
- FDA required two distinct and quantitative assays for IgG and IgE anti-protein X detection
 - ▶ Mab anti-protein X was chimerized into human IgG and human IgE
 - ▶ Development and validation of 2 « semi-quantitative » assays

Case study 1 - IgG assay

- IgG assay format: Direct ELISA
 - ▶ Protein X coated plate / unknown plasma or chimeric Ab spiked plasma (Std) / anti-IgG –HRP
- N cut-off determination on 100 healthy subject plasmas
- N cut-off confirmation on 50 patient plasmas
 - ▶ N cut-off = 1.95
- Clinical samples analysis
 - 【 Qualitative approach with cut-off determination for each plate
 - 【 + Semi quantitative approach with validated calibration range: 0 to 10 $\mu\text{g}/\text{mL}$ (with QCs at 0.6 / 1.8 / 5 and 10 $\mu\text{g}/\text{mL}$)



Case study 1 – IgE assay

- IgE assay format: EIA
 - ▶ anti-hIgE coated plate / unknown plasma or chimeric IgE Ab spiked plasma (Std) / Drug X conjugated to biotin / streptavidin-HRP
- N cut-off determination on 100 healthy subjects plasmas
- N cut-off confirmation on 50 patient plasmas
 - ▶ N Cut-off = 1.46
- Clinical samples analysis
 - 【 qualitative with cut-off determination for each plate
 - 【 + semi quantitative with calibration range 0-20ng/mL with LLOQ of 2ng/mL



Case study 2 - Protein AVIDIN

SSR29261: extractive avidin purified from hen egg proteins

This protein may be used as neutralizing agent for biotinylated anticoagulant molecule

Thanks to the very high affinity between Biotin and Avidin : $K_d = 10^{-15}$



Case study 2 - Immunogenicity risk evaluation

Characteristics	Risk category
<p>Ig anti-avidin antibodies High MW (~64kDa) exogeneous (extracted from hen egg) protein</p> <p>I.V. administration</p> <p>Low frequency of dosing (in routine) <i>Use only for neutralization of biotin-anticoagulant in case of over-bleeding or to stop anticoagulant for surgery (= one or two occasions)</i></p>	<p>High</p> <p>Low-medium</p> <p>Low</p>
<p>Allergic reaction and IgE anti-avidin antibodies <i>Presence of natural anti-avidin antibodies in human, risk of hypersensitivity reaction ?</i></p>	<p>Medium</p>
<p>Neutralizing anti-avidin antibodies <i>High affinity of avidin for biotin (Kd :10^{-15}) compared to Ag-Ab (mean Kd :10^{-9})</i></p>	<p>Very low</p>

Conclusions

- Immunogenicity monitoring is mandatory for all biological products (peptides, proteins, Abs, conjugates..)
- Process strategy (screening + confirmatory + characterisation) is well defined
- But each biological is unique and requires specific assays for ADA and NAb detection
- Validation of ADA assay is challenging and is not standardized
- Immunogenicity = laboratory observations + clinical observations + PK + safety + efficacy..

ACKNOWLEDGEMENTS

- Bioanalysis group of Montpellier
- SpiBio team
- I. Paty and F. Berard
- AAPS sub-comitee